



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,849	08/29/2001	Jeffrey A. Trogolo	281-37

WILLIAM H. EILBERG  
ATTORNEY AT LAW  
420 OLD YORK ROAD  
JENKINTOWN, PA 19046

CONFIRMATION NO. 5109  
FORMALITIES LETTER



\*OC000000006857571\*

Date Mailed: 10/05/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$778.
  - \$378 for 21 total claims over 20.
  - \$400 for 5 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1618.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

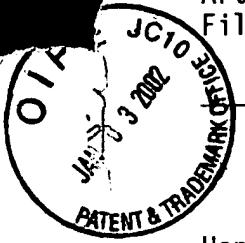
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 09/940,849  
Filed: August 29, 2001  
Inventors: Jeffrey A. Trogolo et al  
Title: BI-LAMINAR, HYALURONAN COATINGS WITH  
SILVER-BASED ANTI-MICROBIAL PROPERTIES  
Art Unit: 1623  
File No.: 281-37

  
Hon. Commissioner of Patents & Trademarks  
Washington, DC 20231

Dear Sir:

Transmitted herewith are the following documents necessary to complete the filing of the above-identified application. The following items are enclosed:

- 1) Original signed Declaration and Power of Attorney (4 pages)
- 2) Assignment of the invention to Biocoat Incorporated and AgION Technologies, LLC, with Recordation Form Cover Sheet attached, and
- 3) Copy of Notice to File Missing Parts of Nonprovisional Application.

The application contains eight (8) independent claims and forty-one (41) total claims. Applicants hereby claim small entity status. The filing fee is therefore \$769.00, to which \$65.00 is added for late filing of the Declaration. The total amount owed is therefore \$834.00.

Checks for \$834.00 and \$40.00, in payment of the filing fee and assignment recording fee, are enclosed.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, ON THE DATE INDICATED BELOW.

By *William H. Elberg*  
Date *11/15/01*

Respectfully submitted,

*William H. Eilberg*

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